

Envisioning a world where women and children are safe from slavery

June 23, 2011

United Nations Development Programme UN Secretariat Building 12th Floor, Block A Rajadamnern Nok Avenue Bangkok 10200 Thailand

This letter supersedes our letter of June 21, 2011.

Dear Sir:

I am pleased to inform you that the Somaly Mam Foundation (hereinafter referred to as the "Donor") has decided to make a contribution of ten thousand dollars (\$10,000), (hereinafter referred to as the "Grant") to the United Nations Development Programme (hereinafter referred to as "UNDP"), a subsidiary organ of the United Nations, an international organization established by treaty, represented by its Resident Representative, with offices at the UN Secretariat Building, 12th Floor, Block A, Rajadamnern Nok Avenue, Bangkok 10200, Thailand, for a region-wide assessment of reintegration (details attached).

The contribution shall be deposited to the following Bank and account: UNDP Representative in Thailand USD Account (Bank of America NA, account number 3752207394).

The Donor will inform UNDP when the contribution is paid via an e-mail message with remittance information to contributions@undp.org.

UNDP shall receive and administer the contribution in accordance with UNDP's Financial Regulations and Rules, policies and procedures, and on the following terms and conditions:

- (1) The Contribution shall be paid in accordance with the following schedule: full payment upon receipt and acceptance of this letter countersigned by the UNDP.
- (2) The above schedule of payments takes into account the requirement that the payments shall be made in advance of the execution/implementation of planned activities. It may be amended to be consistent with the progress of project delivery.
- (3) This Grant will be used exclusively for the region-wide assessment of reintegration.
- (4) In accordance with the decisions and directives of UNDP's Executive Board reflected in its Policy on Cost Recovery from Other Resources, the Contribution shall be subject to cost recovery for indirect costs incurred by UNDP headquarters and country office structures in providing General Management Support (GMS) services. To cover these GMS costs, the contribution shall be charged a fee equal to 7%. Furthermore, as long as they are unequivocally linked to the specific project(s), all direct costs of implementation, including



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the costs of executing entity or implementing partner, will be identified in the project budget against a relevant budget line and borne by the project accordingly.

- (5) The contribution and activities financed there from shall be subject exclusively to internal and external auditing procedures provided for in the financial regulations, rules and directives of UNDP. Should an Audit Report of the Board of Auditors of UNDP to its governing body contain observations relevant to the contributions, such information shall be made available to the Donor.
- (6) (a) The Donor shall not use the UNDP name or emblem, or any abbreviation thereof, in connection with its business or otherwise without the express prior written approval of UNDP in each case. In no event will authorization be granted for commercial purposes, or for use in any manner that suggests an endorsement by UNDP of the Somaly Mam Foundation, its products or services.
 - (b) The Donor acknowledges that it is familiar with UNDP's ideals and objectives and recognizes that its name and emblem may not be associated with any political or sectarian cause or otherwise used in a manner inconsistent with the status, reputation and neutrality of UNDP.
 - (c) The Donor may make representations to its shareholders and internal budget officials as required about the fact of the contribution to UNDP. Any other use of the UNDP name or emblem, and any other form of recognition or acknowledgement of the contribution of the Donor are subject to consultations between the Parties, and the prior written agreement of UNDP.
 - (d) UNDP will report on the contribution to its Executive Board in accordance with its regular procedures regarding contributions from private donors. Other forms of recognition and acknowledgement of the contribution are subject to consultations between the Parties, but the manner of such recognition and acknowledgement shall be determined at the sole discretion of UNDP.
- (7) (a) The parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to this agreement or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with UNCITRAL Conciliation Rules then obtaining, or according to such procedure as may be agreed between the parties.
 - (b) Any dispute, controversy or claim between the Parties arising out of or relating to this agreement or the breach, termination or invalidity thereof, unless settled amicably under the preceding paragraph within sixty (60) days after receipt by one Party of the other Party's request for such amicable settlement, shall be referred by either party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The arbitral tribunal shall



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have no authority to award punitive damages. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

- (8) Nothing in this agreement shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including UNDP.
- (9) Any payments that remain unexpended after all commitments and liabilities have been satisfied shall be disposed of by UNDP in consultation with the Donor.

The Donor and UNDP will consult with each other in respect of any matter that may arise from or in connection with the Grant.

This letter and your acceptance of this contribution on the terms set forth herein shall constitute an agreement between the Somaly Mam Foundation and UNDP on the subject matter hereof.

Sincerely yours,

Signature

Rigmor Schneider, Director of Operations
Name, Title

Acceptance:

Signature

Yuxue Xue, UNDP Resident Representative, a.i.

Name, Title

Date

Ref: 2011 LUNIAP /SOMALY -06

PROPOSAL

Organizational Overview

The United Nations Inter-Agency Project on Human Trafficking (UNIAP) is a project under the administration of United Nations Development Programme (UNDP). It was established in June 2000 to facilitate a stronger and more coordinated response to human trafficking, in the Greater Mekong Sub-region (GMS). UNIAP is managed by a headquarters in Bangkok, with country project offices in the capitals of Cambodia, China, Lao PDR, Myanmar, Thailand and Viet Nam. The seven UNIAP offices have a combined staff of approximately 30 (between four and six staff per office - includes both technical and operations staff). While UNIAP is a UN inter-agency project, UNIAP receives financial support from its own bilateral and multilateral funding (Governments of Norway, Canada, Australia, USA, and New Zealand, and the Asian Development Bank) and not from UN agencies, allowing it to retain a neutral position within the UN that serves all UN agencies, governments, and NGOs equally. It is the only inter-agency coordinating body on human trafficking of its kind within the United Nations system. As a core function, UNIAP coordinates the policy and operational response to human trafficking within the GMS in collaboration with the its key stakeholders (target groups): Government agencies: GMS governments at central and local levels (partnerships in each country include between 12 and 23 ministries up to the Minister/Secretary levels); United Nations: UN and international implementing agencies such as ILO, IOM, UNICEF, UNESCO, UNODC, UNFPA, and others; and NGOs: Local and international non-government organizations (Save the Children, Oxfam, ECPAT, World Vision, the Asia Regional Trafficking in Persons Project - ARTIP, and many local NGOs).

As an inter-agency project, UNIAP has worked with an extensive network of government, local and international NGOs, UN organizations, and donors, and links to agencies in Northeast Asia, South Asia, Europe, and beyond. Since 2000, UNIAP's inter-agency coordination, cooperation and linkages have led to strong small-scale and large-scale interagency collaborations that have resulted in more effective interventions, and the elimination of thousands of dollars of redundant programming. In serving its key stakeholders, UNIAP's four objectives are: to support governments in the institutionalization of effective multi-sectoral approaches to combat human trafficking; to maximize the effectiveness of the UN's overall anti-trafficking response; to facilitate optimal allocation and targeting of anti-trafficking resources; and to continue to play a catalytic role in the anti-trafficking response by identifying and supporting special projects to address new and emerging issues.

Project Overview: Region-wide Assessment of (Re)integration Assistance

"When she arrived home she looked like she had a mental lapse. I thought that she was maybe drugged, so I hit her to wake her up. She started crying ... I put garlic all over her body, on her hands and legs. Then she became conscious again and described all the things that happened to her. I didn't know how to help her recover."

They said she had worked in sexual service. She was pretty. I know it is not good that I say this, but they said she received 4, 10 or 22 men per day. Every afternoon she would dress up, put make-up on and go with cars to this place, then to that place... Her mother searched for her and brought her home. First she didn't want to come back. When she arrived home, she seemed like she had in her mind to go back. Only after a long time she changed. She reduced her different behaviour. Her mother took care of her. She is in good hands now. The villagers don't guide her to be bad. She can live with them... When she came back, we educated her so she would abandon her bad habits. Now she is changed. Now she is normal, like countryside people. She abandoned her former character. She lives with her husband in peace."

What happens to a victim of human trafficking after the trafficking experience is over? What do they do? Where do they go? What assistance do they need? What challenges do they face? How can we help?

These are questions that need answers. Answer that we don't have. Our Mekong region (Re)integration Assessment aims to find some of those answers. But we need your help.

What is the Assessment about?

The Region-wide Assessment of (Re)integration will look in detail at reintegration and integration services offered to victims of human trafficking in the Mekong region², from both the perspective of the government and service provider, and the victim receiving the services. It will also investigate the experiences of victims who do not receive any assistance, and even those who are not officially identified as victims. It will uncover who needs services, what they need, whether or not the current services offered in the region are meeting these needs, and what government and non-governments organisations can do to better assist victims.

The Assessment will comprise three Phases:

- 1. *Phase I:* Desk Review of (re)integration services. This will be the first comprehensive collection of data and information about reintegration laws, policies and processes, in the Mekong region.
- 2. Phase II: National Practitioners' Forums: This will be the first series of National Practitioners' Forums specifically focused on integration and reintegration in the Mekong region. One forum will be held in each of the six Mekong countries, and attended by governments and international and local non-government organisations, including victim service providers and social workers. Participants will share their experiences of assisting victims of trafficking, and consider ways to improve services. They will discuss reasons behind why victims decline assistance, and develop better strategies for identifying and assisting self-returned victims.

¹ Victim stories taken from report by Annuska Derks, 'Reintegration of victims of trafficking in Cambodia', IOM and Centre for Advanced Study, October 1998.

² The countries in the Mekong region are Cambodia, China, Lao PDR, Myanmar, Thailand and Vietnam.

3. *Phase III:* This will be the first region-wide investigation of (re)integration involving in-depth interviews with victims in each of the six Mekong countries. Populations interviewed will include assisted and non-assisted victims, identified and non-identified, and returned and self-returned trafficking victims. Through these interviews we will learn about the victims' pre-trafficking conditions, migration and trafficking experiences, assistance needs, concerns and future aspirations.

Support for the project and interagency collaboration

This Assessment is a collaborative effort, reflecting UNIAP's role as an Inter-Agency Project. The Assessment working group is made up of representatives from the International Organization for Migration, the United Nations Children's Fund, World Vision and Save the Children, as well as the UNIAP. These organisations have a long history of working to improve return and reintegration services for victims of human trafficking, and a substantial body of knowledge to draw upon for this study. The Assessment Terms of Reference document provides more information about the interagency collaboration involved in this Assessment.

Financial Support for the implementation of this activity

Since 2010 UNIAP has built partnership with Somaly Mam Foundation in many initiatives to combat human trafficking. UNIAP is seeking further partnership and collaboration and financial support for this initiative. The financial support from Somaly Mam Foundation will be directed to support the study by the consultant and part of research to be undertaken in Cambodia in the amount of \$10,000.

Project Activities

Phase III of the assessment the in-depth interviews, are the most important part of the Assessment. It is in respect of these interviews that we would like to collaborate with Somaly Mam.

A lead consultant will be engaged to lead and manage a team of researchers for primary research with victims of trafficking in the GMS. Under UNIAP's guidance, the lead consulant will;

- Design a strategy and plan for primary research with victims of human trafficking in the GMS;
- Establish relevant contacts and networks with communities in trafficking hotspots, border-crossing areas and migration routes in order to access victims of trafficking. This may include travel to the GMS countries;
- Provide training, field support, and task management oversight to data collectors and field researchers. This includes training in ethical interviewing practices and child protection strategies for interviewees under 18 years of age;
- Arrange for accurate and comprehensive documentation of interviews;

- Ensure quality data entry and cleaning, with periodic data-cleaning checks, and where appropriate, conduct interviews
- Develop one report directed towards the GMS Governments' policy-makers which contains a preliminary summary of the results of the research. This report will be presented at the COMMIT Senior Officials Meeting 8 in January 2011;
- Develop a second report which analyses the research findings and makes recommendations to the GMS Governments on how to adapt and improve their reintegration/integration policies, procedures and services;
- Liaise with, and report to, the lead agencies for Phase III as needed.

Project Outcomes and Metrics

There have been many studies done on reintegration in the Mekong region. However, these studies have generally focused on one victim population – those formally identified and officially returned by governments in one or two destination countries. In so doing, they have missed most of the population of trafficking victims.

This (Re)integration Assessment will look at the experiences of both identified and non-identified victims, officially returned and self-returned, assisted and unassisted victims of trafficking. It will cover each of the six Mekong countries, focusing on populations that have typically been missed in other research studies in those countries.

Activity	Mar 11	Apr 11	May 11	Jun 11	Jul 11	Aug 11
Research planning and scoping						
Fieldwork and researcher training			·			
In-country research and data collection						:.
Collaboration of initial results and preparation of preliminary report						
Presentation to GMS Governments at the COMMIT SOM 8						
Preparation of second report with analysis of research results and recommendations						
Evaluation and development of recommendations for future research						

BUDGET

PHASE I Desk Review of Re (integration) Services

This is the first comprehensive collection of data and information about reintegration laws, policies and processes, in the Mekong region. UNIAP in-house technical inputs. No additional financial cost.

PHASE II National Practitioners' Forum

	Cambodi a	China	Lao	Myanma	Thailan d	Vietna m
Partners combined; World Vision, Save the Children, UNICEF and	a	Cilita	Lao		<u> </u>	
IOM	10,000	11,295	8,002	9,653	16,000	15,391
UNIAP		2,000	1,565			4,214
Total Budget per country	10,000	13,295	9,567	9,653	16,000	19,605
TOTAL BUDGET FOR PHASE II	78,120					

PHASE III BUDGET

	Cost per item	Number of person	Time /Day	Country	Total
ITEMS	USD				USD
Lead researcher fees					44,000
Fee					44,000
Lead researcher travel costs					10,570
Flights	350		7		2,450
DSA and terminal allowance	660		7		4,620
Inland Transportation/Service Rentals	400		7		2 000
Misc	400				2,800 700
Research costs					43,720
1. Inter Travel cost UNIAP staff	350		3		1,050
DSA and terminal allowance	660		3		1,980
Domestic travel cost UNIAP staff	40	1	12	6	2,880
DSA and Terminal	60	1	12	6	4,320
2. Meeting costs (Training)	25	7	2	6	2,100
Meeting costs - Data analysis	25	7	1	6	1,050
3. Researchers -Travel costs	50	4	1	6	1,200
Researcher Leader fee	50	1	10	6	3,000
Researcher fee	30	3	10	6	5,400
Researcher meals & accom	40	4	12	6	11,520
Communication cost	30	4	1	6	720
4. Other costs - Translation	1,000	1	1	6	6,000
Photocopy and stationeries	50	1	1	6	300
Travel / Incentive for interviewee	5	50	1	6	1,500
5. UNDP GMS	_				700
Total Research					98,290